Rec'daPCT/PTO 243, NO VEN 2005

# RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 usc 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

Responsive to the Notification mailed July 25, 2005, Applicants submit herewith a copy of the original Notification of Missing Requirements Under 35 USC 371 In The United States Designated/Elected Office (DO/EO/US), Declarations executed by the available inventors, the late Declaration surcharge.

## SUBMISSION OF PETITION UNDER 37 C.F.R. § 1.47(a)

Applicants submit concurrently herewith a Petition Under 37 C.F.R. § 1.47(a) petitioning the Commissioner to accept its application Serial No. 10/534,055 absent an oath or declaration signed by all named inventors. Joint inventors George P. Teitelbaum, Samuel M. Shaolian, Thanh V. Nguyen, To V. Pham, Vincent Divino, Jr., and Michael R. Henson, have signed a Declaration. Joint inventor Frank Nguyen is a nonsigning inventor, notwithstanding diligent efforts to obtain his signature.

## **FEES**

A check in the amount of \$710.00 is attached for the following fees:

Two Month Extension of Time \$450.00

Late Declaration Surcharge \$130.00

Petition Under 37 C.F.R. § 1.47 Fee \$130.00

TOTAL AMOUNT ENCLOSED \$710.00

In the event any variance exists between the amount enclosed and the amount determined by the USPTO to enter this Response or maintain the present application pending, please charge or credit such variance to the undersigned's Deposit Account **No. 50-0206**.

11/29/2005 LLANDGRA 00000051 10534055

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# N THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re A	pplication of:	)	
TEITELBAUM et al.		) Group Art Unit: Unassign	ned
Applica	ation Number: 10/534,055	) Examiner: Unassigned	
Filed:	May 6, 2005	)	
For:	TRANSPEDICULAR INTERV METHODS AND DEVICES	ERTEBRAL DISK ACCESS	

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 USC 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US),
REQUEST FOR EXTENSION OF TIME AND SUBMISSION OF PETITION UNDER 37 C.F.R. § 1.47(a)

#### MAIL STOP MISSING PARTS

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

## REQUEST FOR TWO-MONTH EXTENSION OF TIME

Applicants respectfully request a two-month extension of time under 37 C.F.R. § 1.136(a) for responding to the Office Action mailed on July 25, 2005. Accordingly, the time for response will be extended up to and including November 25, 2005. A check in the amount of \$710.00 is attached, part of which covers the two-month extension of time fee of \$450.00. Applicants believe that no additional fee is required for the submission of this Response. However, in the event that the U.S. Patent and Trademark Office ("USPTO") requires an additional fee to enter this Response or to maintain the present application pending, please charge such fee to the undersigned's Deposit Account No. 50-0206.

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& FFF	W 24					
7005 2	TRANSMIT		pplication N	lo.	10/534,055	
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			First Named	Inventor	TEITELBAUM	et al.
W & THE WAIL ST	<b>OP MISSING</b>	PARTS	Examiner Na	me l	Jnassigned	
	small entity status So	ee 37 CFB 1.27	Art Unit		Unassigned	- ····
Applicant claims small entity status. See 37 CFR 1.3  Total Amount Of Payment (\$) 710.00		710.00	Attorney Doo		64118.000192	
METHOD OF PAYI			7 Money Boo	met ite.	34110.000102	-
Check	Credit Card	Money Orde	er 🗌 None		ther (identify):	·
Deposit Acc	ount Deposit Accour	nt Number <u><b>50-020</b>6</u>	Deposit Acco	unt Name : $oldsymbol{H}$	unton & \	Williams LL
For the ab	ove-identified depo	sit account, the Dire	ector is hereby	authorized t	o: (check all t	hat apply)
Charge 1	ee(s) indicated belo	ow.			dicated below,	except for the
	1.15			g fee		
	any additional fee(s under 37 CFR 1.16		s [] Cred	lit any overp	ayments.	
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	, SEARCH AND EXAM	MINATION FEES				
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	Small E	Intity	Small Entity		Small Entity	
Application Type	Fee(\$) Fee	(\$) <u>Fee(\$)</u>	Fee (\$)	<u>Fee(\$)</u>	<u>Fee (\$)</u>	Fees Paid (\$)
Utility	300.00 150.0	500.00	250.00	200.00	100.00	
Design	200.00 100.0	100.00	50.00	130.00	65.00	
Plant	200.00 100.0	300.00	150.00	160.00	80.00	
Reissue	300.00 150.0	500.00	250.00	600.00	300.00	
Provisional	200.00 100.0	0.00	0.00	0.00	0.00	
2. EXCESS CLAIR	AS FEES					
<u>For</u>	<u>Number</u> <u>Present</u>	Highest Numl <u>Paid For</u>	ber <u>Extra</u>	Fees (\$)	Small Entity <u>Fee (\$)</u>	Fees Paid (\$
Total Claims	9	20	0 x	50.00	25.00	
Independent Claims	1	3	0 x	200.00	100.00	
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Total Excess Claims  3. APPLICATION		C1: al		-f Ab	lication size fo	o duo in 0050 (0105
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100 =	/ 50 =	(round up to a who	ole number) X	250.00	125.00	
4. OTHER FEE(S						
<ul> <li>Non-English Specification (no small entity discount)</li> <li>□ Utility Issue Fee (or Reissue) (including Publication Fee, if necessary)</li> </ul>						
3		130.00	☐ Design Issue Fee			
☐ Surcharge - late provisional filing fee or cover sheet		over sheet	Plant Issue Fee			
		Petition to Commissioner 130.00				
Submission of Information Disclosure Statement			Petition to Revive (Unavoidable)			
☐ Request for Oral Hearing ☐ Petitions Related to Provisional Applications						
Filing Brief in Sup			Recording Each Patent Assignment Per Property			
	After Final Rejection		Other (s	specity)		
SUBMITTED BY			Registration N	2 44 497	Tolophone	(202) 055 1500
Signature	-1 XXX		Registration No	o. 44,487	Telephone	(202) 955-1500
Typed or Printed Nan	ne   Yisun\Song \				Dat <b>e</b>	November 23, 20

Respectfully submitted,

HUNTON & WILLIAMS LLP

Date: <u>November 23, 2005</u>

By:

Registration No. 44,487

HUNTON & WILLIAMS LLP 1900 K Street, N.W., Suite 1200 Washington, D.C. 20006-1109 (202) 955-1500 (telephone) (202) 778-2201 (facsimile)



### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Vinginia 22313-1450 www.usplu.gov

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY, DOCKET NO. 10/534,055 George P Teitelbaum 64118.000192 INTERNATIONAL APPLICATION NO. PCT/US03/09285 21967 64118.192 I.A. FILING DATE PRIORITY DATE **HUNTON & WILLIAMS LLP** INTELLECTUAL PROPERTY DEPARTMENT 03/25/2003 1900 K STREET, N.W. **SUITE 1200 CONFIRMATION NO. 2702** WASHINGTON, DC 20006-1109 JUL 2 8 2005 **371 FORMALITIES LETTER** 7047 \*OC000000016599365\* Hunton & Williams LLP

Date Mailed: 07/25/2005

# NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 05/06/2005
- Copy of the International Search Report filed on 05/06/2005
- Copy of IPE Report filed on 05/06/2005
- Copy of Annexes to the IPER filed on 05/06/2005
- U.S. Basic National Fees filed on 05/06/2005
- Priority Documents filed on 05/06/2005
- Specification filed on 05/06/2005
- Claims filed on 05/06/2005
- Abstracts filed on 05/06/2005
- Drawings filed on 05/06/2005

DUCKETED :	7-28-05	
ACTION CODE:	Missing Requ	uement
BASE DATE :	7.25.05	-,0
DHE DATE :	9-25-05	
DEADLINE :	2-25-06	
ATTORNEYS :	DADITHMIYSS	
THALS :	A	

The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Additional claim fees of \$6350 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

SUMMARY OF FEES DUE:

Total radditional fees required for this application is \$6350 for a Large Entity:

- Total additional claim fee(s) for this application is \$ 6350
  - \$1600 for 8 independent claims over 3.
  - \$4750 for 95 total claims over 20.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

#### PATRICIA A BOOKER

Telephone: (703) 308-9140 EXT 204

#### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY, DOCKET NO.
10/534,055	PCT/US03/09285	64118.000192

FORM PCT/DO/EO/905 (371 Formalities Notice)